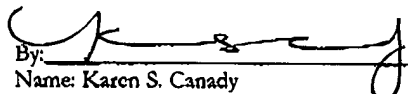


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Deiter C. Gruenert et al. Examiner: B. Loeb
Serial No.: 09/392,682 Group Art Unit: 1636
Filed: September 9, 1999 Docket: G&C 30448.97-US-D1
Title: GENE THERAPY BY SMALL FRAGMENT HOMOLOGOUS
REPLACEMENT

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on July 2, 2001.

By: 
Name: Karen S. Canady

REQUEST FOR TRANSFER OF SEQUENCE INFORMATION
UNDER 37 CFR §1.821(e)

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The paper copy of the Sequence Listing in the instant application, serial no. 09/392,682, is identical to the computer readable copy of the Sequence Listing filed in application serial no. 08/487,799, filed on June 7, 1995. In accordance with 37 CFR §1.821(e), please use the fourth filed computer readable form filed in that application on April 6, 1999, as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application.

A paper copy of the Sequence Listing was included in a preliminary amendment for incorporation into the specification, which preliminary amendment was filed together with the instant application on September 9, 1999. A copy of the Sequence Listing as filed on September 9, 1999, as well as a copy of the stamped, returned postcard indicating receipt of the computer readable and paper copies of the Sequence Listing by the Patent and Trademark Office, is enclosed herewith.

If the instant application has not already been so amended, Applicants respectfully request that the instant application be amended to include the Sequence Listing.

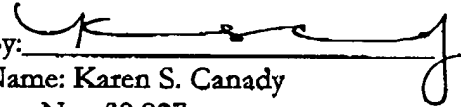
Respectfully submitted,

GATES & COOPER LLP

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Date: July 2, 2001

By: 
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KSC/amb

G&C 30448.97-US-D1

APPLICANT(S) DIETER C. GRUENERT et al.

TITLE: GENE THERAPY BY SMALL FRAGMENTS HOMOLOGOUS REPLACEMENT

Serial No.: UNASSIGNED Filed: SEPTEMBER 9, 1999

Fee (if any) \$458.00 Check Enclosed ☒ Yes ☐ No

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Kind: stamp receipt date and mail to acknowledge receipt of the accompanying **DIVISIONAL PATENT APPLICATION**

1. Divisional Patent Application Transmittal;
2. Specification having 104 pages;
3. 18 Sheets of Formal Drawings;
4. Copy of prior Declaration and Power of Attorney;
5. Sequence Listing; Computer Readable Copy; Statement;
6. Copy of Notification of Filing of Divisional Application from Prior Application;
7. Copy of Information Disclosure Statement and References;
8. Preliminary Amendment;
9. Check in the amount of \$458.00; and
10. Postcard.

Due Date: _____ Date Mailed: SEPTEMBER 9, 1999

Atty/By HV/mt File No.: 480.18-4 (HV)

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